

CUAV 2024 OPERATIONAL AUDITOR PANEL TOPICS AND QUESTIONS

Session time: 60 minutes

Introductions (Est. time per panel member <1 minute) (Total time 3 minutes):
Panel Moderator will introduce herself and then ask each panel member to introduce themselves (name, organization, how long you've been with organization, additional audit/other experience).

Q1. Ranking Audit Findings (Est. time per panel member- 3-4 min) (Total 9 min)

1. Does your college/university have a system for ranking audit findings?
(Panelists can send PDFs or slides that they'd like to show for this)
2. What scale do you use?
3. What determines the ranking?
4. Any challenges you've encountered with the current rating scale?

Moderator:

- Ask audience for any questions on audit findings ratings.
 - Ask audience how they rank their findings.
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Q2. Self-Service Access (Est. time per panel member- 3-4 min) (Total 9 min)

1. What applications, exports, reports, data sources have you been provided self-service access to for better efficiency and objectivity? Is the access per audit or ongoing? Are any of these used for continuous monitoring?

Moderator:

- Ask audience for any questions related to self-service.
 - Ask audience if they have any other access they'd like to share.
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Q3. Scope Creep (Est. time per panel member- 3-4 min) (Total 9 min)

1. What methods do you find helpful for identifying and preventing scope creep during audit fieldwork?

Moderator: Ask audience if they have any questions.

Q4. Hostile Audit Clients (Est. time per panel member- 3-4 min) (Total 9 min)

1. What are your strategies for dealing with uncooperative/hostile audit clients?
(Moderator: ask first of everyone)
2. Do you do any outreach to try to improve relationships with audit department and the organization? (Moderator: ask after everyone has responded).

Moderator: Ask audience if they have any questions related to hostile audit clients or audit outreach.

Audience Questions (30 minutes)

Moderator: First ask the audience if they have any questions.

Filler Questions for the Moderator

1. What projects are you working on currently?
 2. What are your best practices for communication with the clients during the audit?
 3. How/when do you make the client aware of audit findings?
 4. What strategies do you use to ensure that audit recommendations are actionable and feasible for the organization to implement?
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