

OSIG Cases & Beyond!

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Too Much or Too Little Oversight?

- Joint Legislative Audit & Review Commission
 - Ensures that appropriated funds are used effectively and efficiently (state and local agencies).
 - Assesses the performance of agencies and programs.
- Auditor of Public Accounts
 - Serves as the external financial auditor for independent, judicial and executive branch state agencies.
 - Conducts audits and reviews over internal controls surrounding agency spending.
 - Reports to the General Assembly through JLARC.
- Virginia State Police
 - Ensuring a safe and secure Commonwealth through criminal investigations.
- Office of the State Inspector General...

Virginia Office of the State Inspector General

- Jurisdiction over executive branch state agencies (and local DSS agencies)
- Audit Division
 - Conducts performance audits of state agencies.
- Investigations Division
 - Investigates both administrative (Hotline) and criminal (CIU) complaints alleging fraud, waste, abuse or corruption.
 - Performs healthcare compliance inspections and reviews of DBHDS/DOC facilities and licensed providers.
- Administration Division
 - Provides training and coordination of standards for internal audit programs.
 - Other fun stuff...

Audit Division

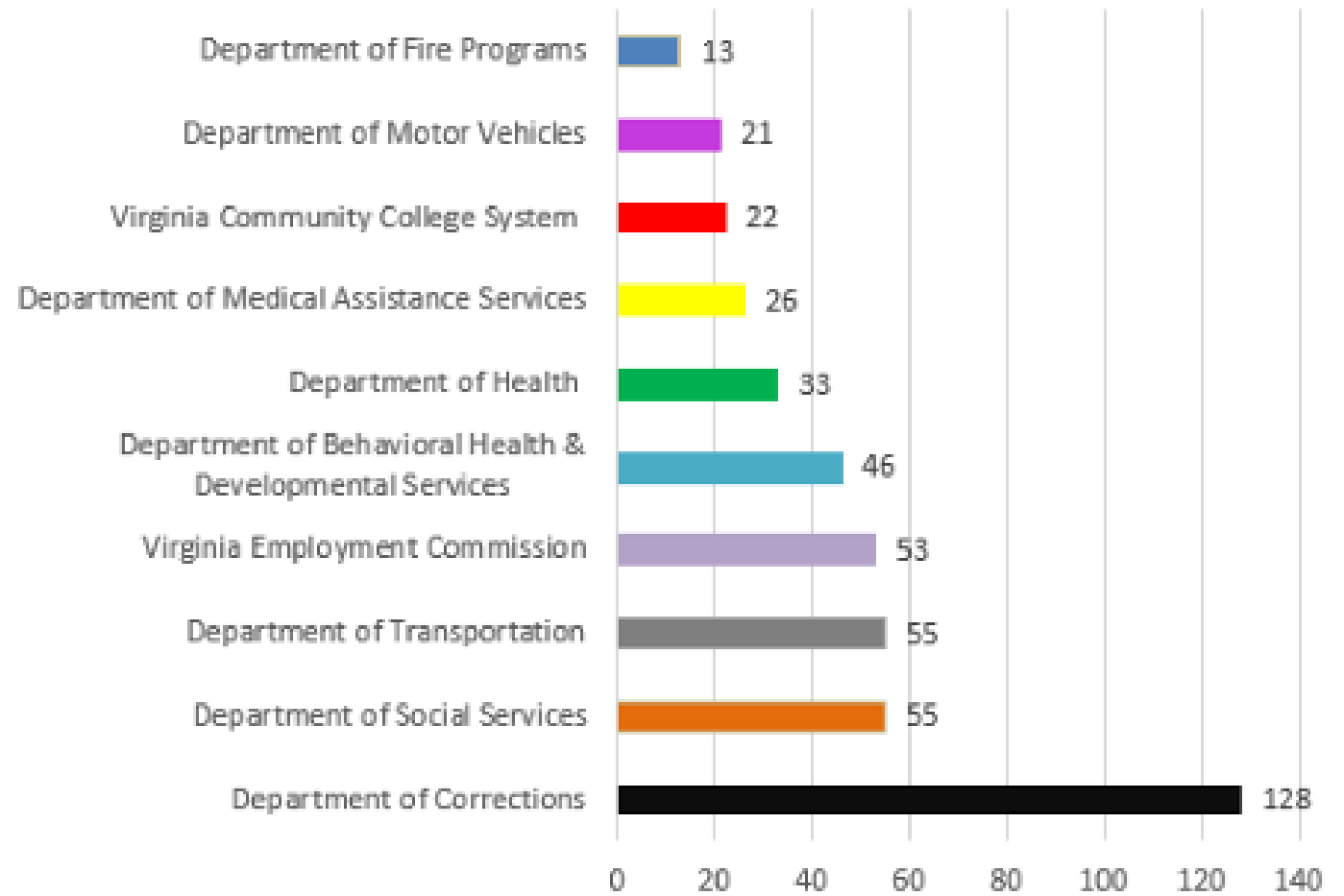


Investigations Division Hotline Unit

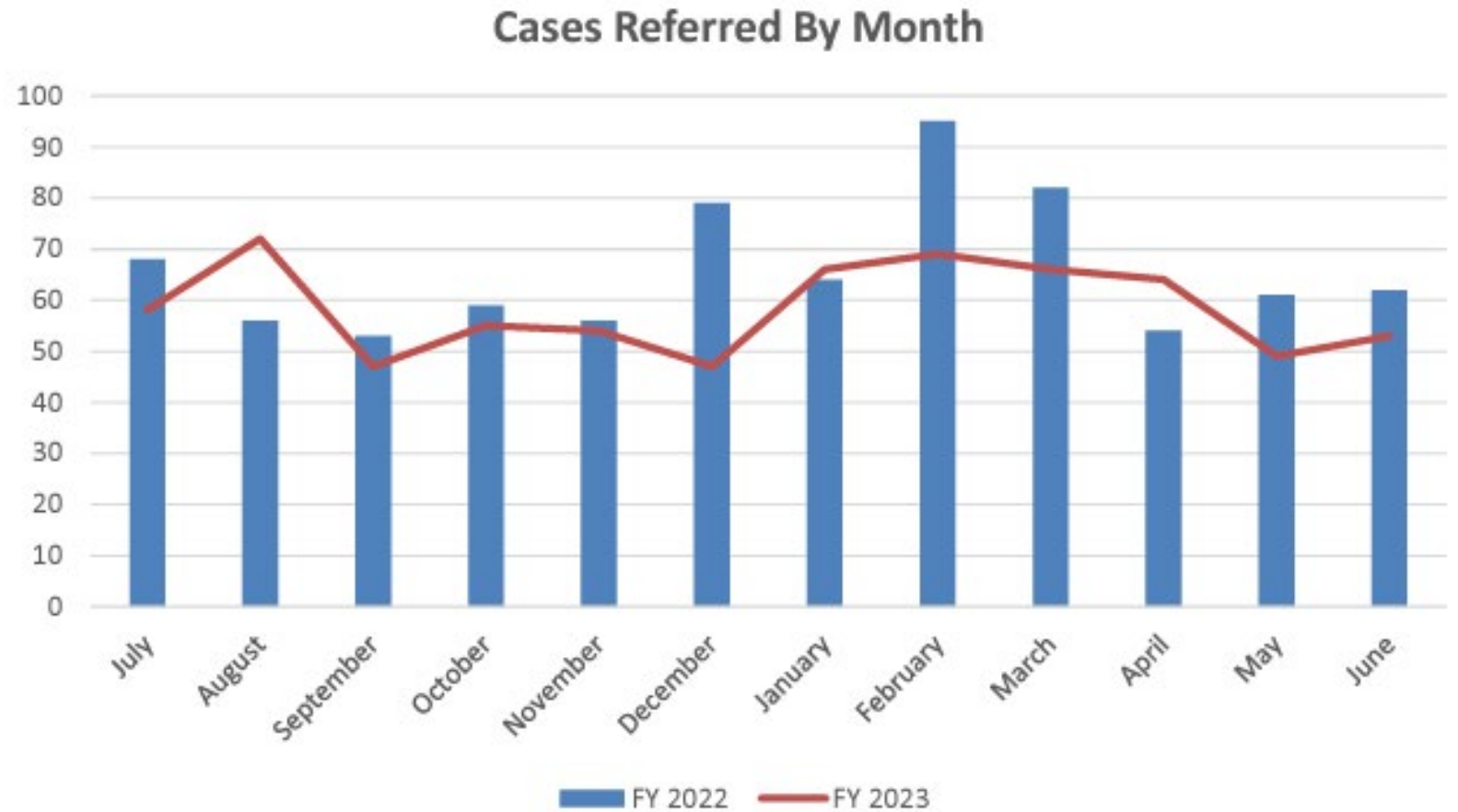


Hotline Cases FY 2023

Total Cases Referred to Agency for FY 2023 (Top 10 Agencies)

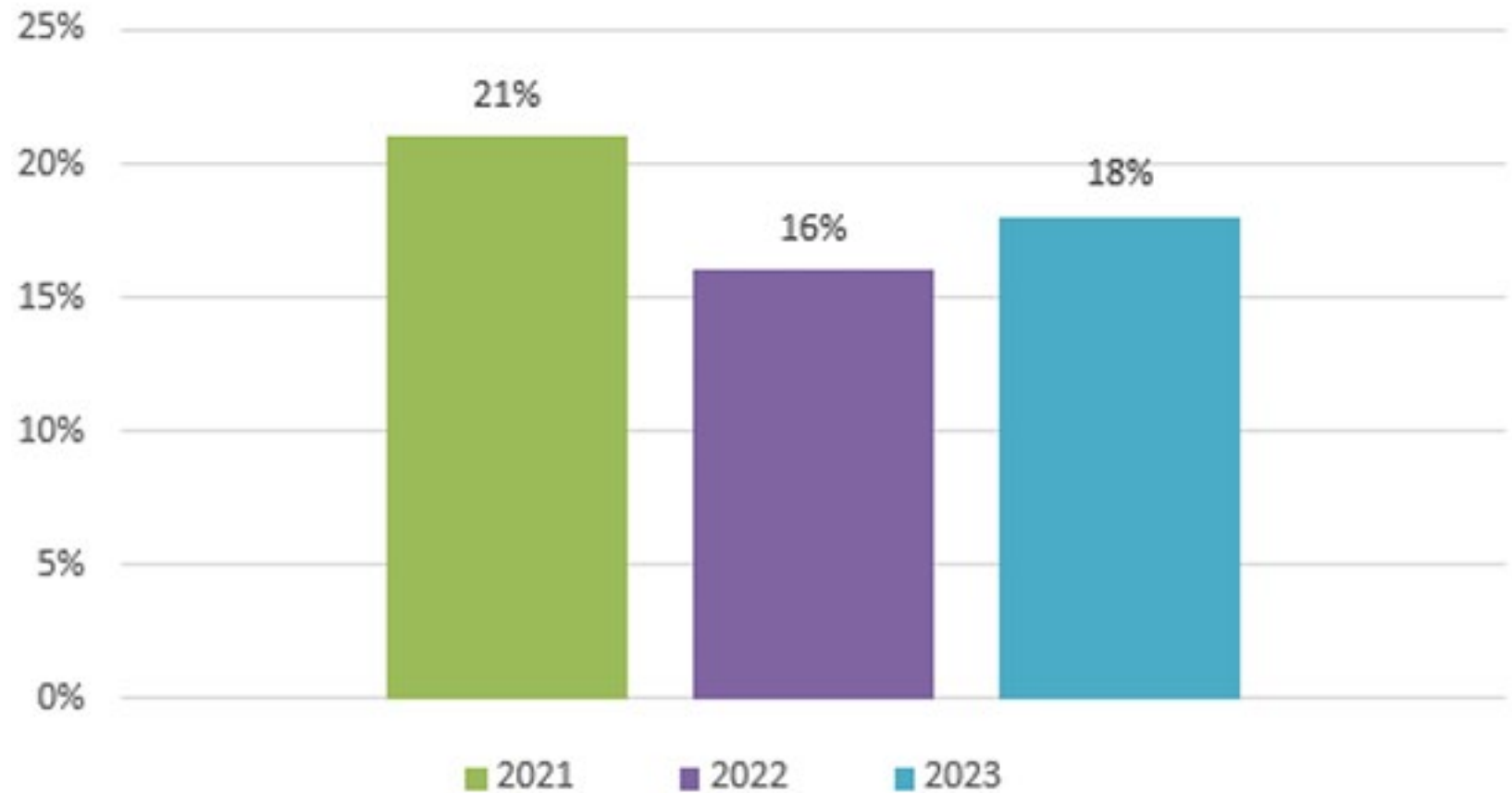


Hotline Cases FY 2023



Hotline Cases FY 2023

Cases Substantiated by Year



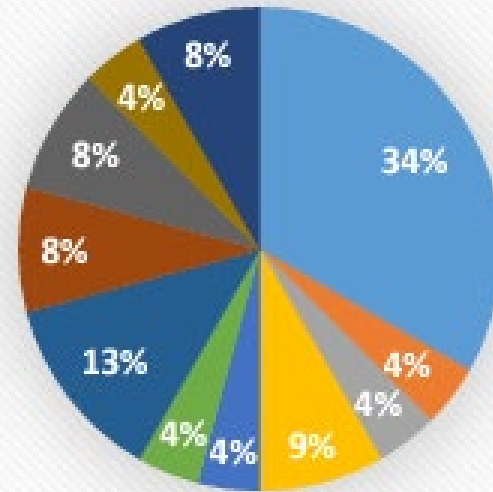
Hotline Case Studies

- Case 1
 - Agency: Norfolk State University
 - Allegation: Improper use of state resources; abuse of position and conflict of interest
- Case 2
 - Agency: Department of Education
 - Allegation: Retiring employee started a consulting business without manager approval for outside employment and was using state resources in the initial phase of the company.
- Recent upticks in improper hiring practices/complaints

Investigations Division Criminal Investigations Unit

CIU Cases FY 2023

Cases



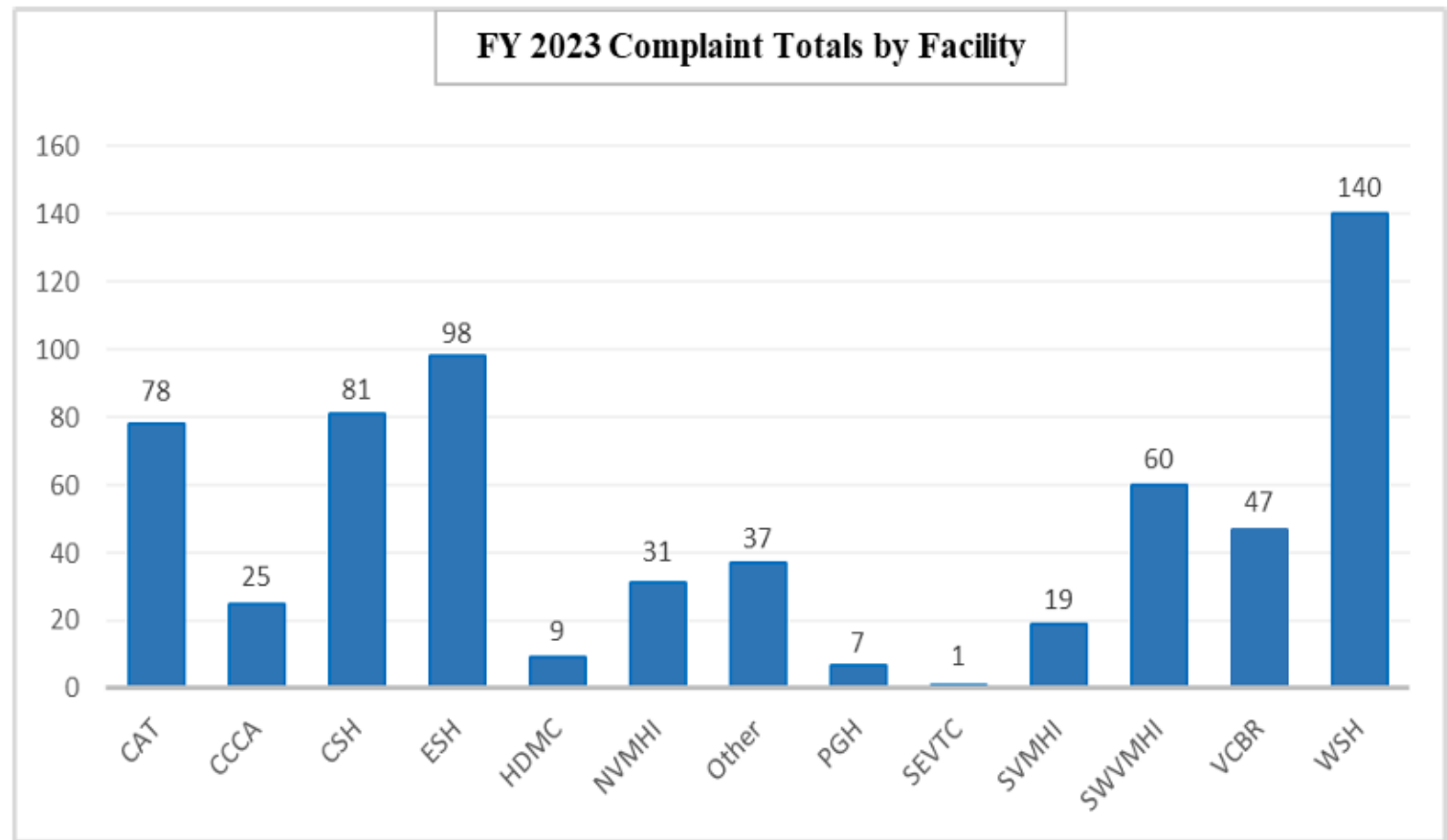
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|------------------------------|-------------------------|--------------------------------|
| ■ Benefits Fraud | ■ Conflicts of Interest | ■ False unemployment claims |
| ■ Falsifying State Documents | ■ Fuel Charges | ■ Grant Fraud |
| ■ Other | ■ Procurement Fraud | ■ Theft of Government Property |
| ■ Time/Leave Abuse | ■ Conflicts of Interest | |

CIU Case Studies

- Case 1:
 - Agency: Mountain Empire Community College
 - Charge: Embezzlement
- Case 2
 - Agency: Virginia Employment Commission
 - Charges: Obtain money by false pretense; computer fraud
- Case 3
 - Agency: Southwest Virginia Community College
 - Charges: N/A but allegations were founded.

Investigations Division Healthcare Compliance Unit

HCU Cases FY 2023



Legend	
SEVTC - Southeastern Virginia Training Center	CAT - Catawba Hospital
HDMC - Hiram Davis Medical Center	SWVMHI - Southwestern Virginia Mental Health Institute
PGH - Piedmont Geriatric Hospital	VCBR - Virginia Center for Behavioral Rehabilitation
CCCA - Commonwealth Center for Children & Adolescents	OTHER - Community Services Board or Private Provider
NVMHI - Northern Virginia Mental Health Institute	CSH - Central State Hospital
SVMHI - Southern Virginia Mental Health Institute	WSH - Western State Hospital
ESH - Eastern State Hospital	

Administration Division



Questions?

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