



1

Notes

1. This handout includes all slides from the live presentation.
2. By design, there's not a lot of content on these slides: main points and topics only.
3. This handout is intended to accompany the symposium discussions and is not intended as a stand-alone document; use caution interpreting based on this handout alone.
4. Policy and interpretations change; as always, ensure currency after this presentation on August 5, 2021.

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2

I. Policy Differences

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3

- December 2020: **major revision**
- March 2021 + June 2021: **clarifications + technical corrections**
- **Summary of Recent Changes** – Appendix C
- **Redline** version on the web
- **Crosswalk** document on the web

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4

Substantive Change Policy Crosswalk 12/03/2020 - Subject to update

Intended for reference; not intended as a substitute for informed knowledge of policy. Includes new, deleted, and significantly revised requirements only; previous requirements with no or minor changes are not included in this document. However, a minor policy change may have a major implication for a particular institution; review with care.

Previous Policy		Revised Policy (2020)	
Substantive Change Type / Requirement	Change	Substantive Change Type / Requirement	Comment
INSTITUTIONAL CHANGES			
	New	Institutional Contingency Teach-out Plan	Criteria and process addressed; differentiates from imminent institutional closure.
	New	Competency-based Education by Course/Credit-based Approach - Institutional Approval	Competency-based education by direct assessment requirements are unchanged.
	New	Change in Measure of Student Progress (institutional level change)	For entire institution, e.g., converting from quarters to semesters.
Mission Change	Revised	Mission Change	Clarifies qualifications; addresses change concurrent with or consequent to other sub changes.
PROGRAM CHANGES			
New program, aka significant departure, aka, expanding at current degree level	Revised	New Program Approval	Determination of significant departure simplified and quantitative threshold established.
	New	New Program Notification	Refer to policy for particulars; long-standing admission not to submit new program information unless approval is required is now moot.
	New	Method of Delivery - Approval	Applies to institution on Substantive Change Restriction only.
	New	Method of Delivery - Notification	Refer to policy for methods and definitions.
	Non	Program Designed for Prior Learning	Refers to institution on Substantive Change Restriction.

5

2. General Review Procedures

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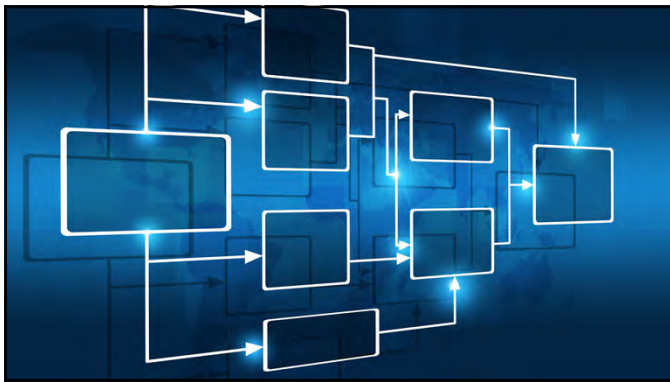
8

Notifications Approvals

Staff only
Staff + Exec Council of BOT
BOT ("C&R")

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9



10

3. New Programs

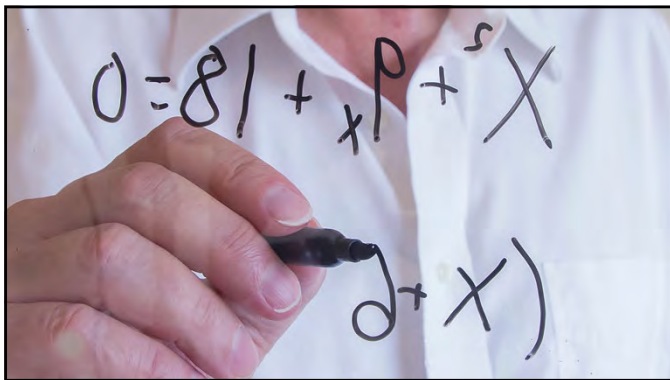
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12

- **Significant departure:** Simplified and quantitative threshold to determine if a new program requires a prospectus and approval prior to implementation
- **Notification:** new requirement in 2020 policy

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13



14

4. Prospectus Development

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- **What to submit:** Provided for every type of substantive change
- **Cut-and-paste** + answer
- Mind the number of pages: **Don't go overboard** and mind the page limit
- **Share** best practices and model documents

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16



17

 Note: Live presentation on 08/05/21 stopped here.

5. Adding Methods of Delivery

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18

- competency-based education, ★
- distance education, and
- face-to-face instruction

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19



20

6. Distance Education Approval

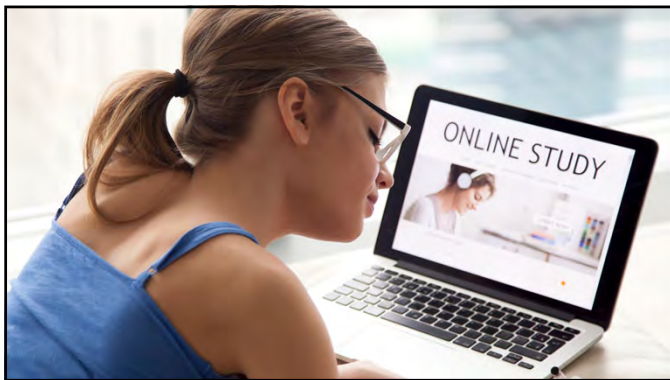
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- Approval of **first** program
- Adding **additional** programs
- Adding **method of delivery**
- **Closing** program-specific distance education (or any other) method of delivery

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23

7. Substantive Change Restriction

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- Triggers: **Sanction** (3 types) or **provisional certification**
- **Additional** or **different** requirements, all identified in policy
- Continues for **3 years** post-sanction
- **Rationale?**

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25



26

8. Off-campus Instructional Site Approval

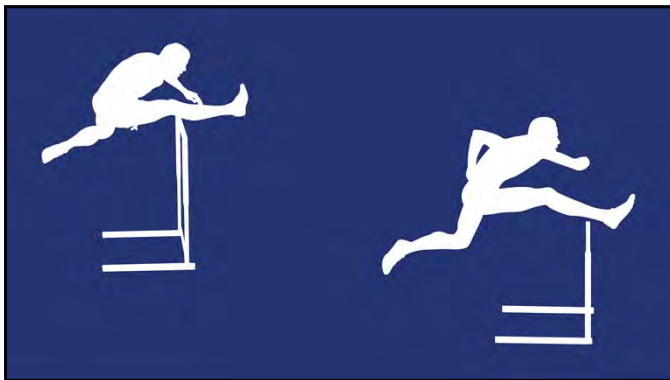
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- Extensive Review
 - First two sites approved after January 1, 2021
 - Institutional-level review
 - BOT reviews in June + December only
 - By reaffirmation
- Limited Review

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28



29

9. Cooperative Academic Arrangements

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- **Reconceived** from “contractual and consortial relationships”
- Aligns with **Standard 10.9**
- Differentiates **title IV** versus non-title IV entities
- Revised March 2021: **coordinating entities**

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31



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32

10. Institutional Contingency Teach-out Plan

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33

- **Triggers:** Probation, title IV provision certification + USDE order, heightened cash monitoring (HCM1), reimbursement (HCM2), and others (see policy) ↙
- Comprehensive **plan to close** the institution
- **!**

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34



35



36
